

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **IIFL Wealth Management Limited**
 2. Quarter ending - **31-Dec-2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DI	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Nilesh Vikramsey	0031213	AA0BVP380Q	ID,C & NED		21-May-2013	25-Aug-2019		60	16-Aug-1964	Yes	30-Sep-2019	7	6	8	2	AC,RC,NRC	

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Mr.	Venk atara man Raja mani	0 0 0 1 1 9 1 9	A D H P R 6 6 3 3 G	NED		17-Jan- 2008			27- Jul- 1967	NA		5	0	5	1	SC,RC
Mr.	Nirm al Bhan warla l Jain	0 0 0 1 0 5 3 5	A B R PJ 9 2 3 5 G	NED		17-Jan- 2008			11- Dec- 1966	NA		4	0	1	0	NRC
Ms.	Geet a Math ur	0 2 1 3 9 5 5 2	A A K P M 7 3 8 0 D	ID		03-Mar- 2015	03- Mar- 2015	60	21- Nov- 1966	NA		7	7	6	4	AC,SC,R C,NRC

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Mr.	Karan Bhagat	03247753	AEBPB75349B	ED	MD	27-Sep-2010	27-Jul-2015			25-Apr-1977	NA		1	0	0	0	RMC	
Mr.	Yatin Shah	03231090	AA3PM1049205K	ED		27-Sep-2010	27-Jul-2015			27-Jan-1976	NA		1	1	1	0	SC	
Mr.	Shantanu Rastogi	06732021	AGDRP082472J	NED, ND		25-Feb-2016				26-Mar-1979	NA		1	0	2	0	AC,RC	

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Mr.	Sandeep Naik	0257989	A F P N 6 7 3 1J	NED, ND		25-Feb-2016				29-Oct-1972	NA		1	0	0	0	NRC	
Mr.	Subbaraman Narayan	0094081	A B U P N 5 0 9 5 R	ID		25-Jun-2019	25-Jun-2019	60	20-Jun-1943	Yes	30-Sep-2019		5	3	2	2		

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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1	Geeta Mathur	ID	Chairperson	03-Mar-2015	
2	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	
3	Nilesh Vikamsey	ID,C & NED	Member	18-Jun-2013	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Geeta Mathur	ID	Member	14-May-2019	
2	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
3	Yatin Shah	ED	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Venkataraman Rajamani	NED	Member	14-May-2019	
2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikamsey	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	
5	Geeta Mathur	ID	Member	14-May-2019	

Company Remarks	
Whether Permanent	Yes

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chairperson appointed	
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d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Geeta Mathur	ID	Chairperson	03-Mar-2015	
2	Nilesh Vikamsey	ID,C & NED	Member	06-May-2015	
3	Nirmal Bhanwarlal Jain	NED	Member	04-Feb-2011	
4	Sandeep Naik	NED,ND	Member	25-Feb-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
21-Aug-2019	21-Oct-2019	Yes	8	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	60

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during	Date(s) of meeting of the	Whether requirement	Number of Directors	Number of independent
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	of the committee in the previous quarter	committee in the relevant quarter	of Quorum met (Yes/No)	present	directors present
Audit Committee	21-Aug-2019		Yes	3	2
Audit Committee		21-Oct-2019	Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	60

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**

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2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **Ashutosh Naik**
Designation : **Company Secretary & Compliance Officer**

A handwritten signature in black ink, appearing to read "Ashutosh Naik", with a horizontal line underneath.

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